

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

BARTHEL, Herbert, et al.

Filed: March 30, 2001

For: DEVICE FOR CONTROLLING AND/OR MONITORING EXTERNAL TECHNICAL

PROCESSES

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

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In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a corresponding

International Search Report from a Foreign Patent Office citing such documents, together with

an English-language version (if not already included) of that portion of the International Search

Report from a Foreign Patent Office indicating the degree of relevance found by the foreign

office. Applicants further note that the Search Report lists corresponding U.S. patents for the

cited WIPO reference.

In addition, a concise statement of relevance for the remaining one of the documents

being submitted is found in the Background discussion of the above-identified application.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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